



Theory of Computer Science

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What we are going to discuss?

Theory of Computation

Complexity Theory

another topic ...

Mining Massive Datasets

Computational Learning Theory

Parameterized Algorithms

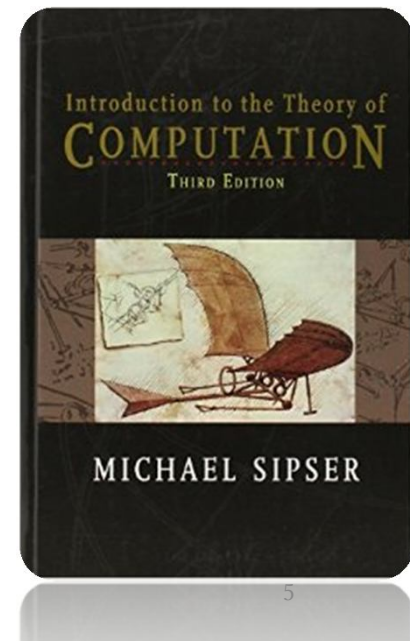
Theory of Computation

- Church-Turing thesis, Turing machine & its variations
- exploring the limits of algorithmic solvability
- reducibility as a key method to prove unsolvability
- recursive/partial recursive functions
- decidability in terms of recursion
- arriving at Turing machines
- decidability of logical theories
- Turing reducibilities

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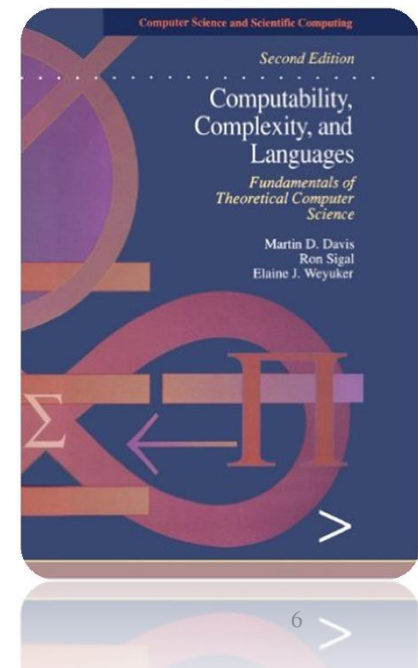
[S12] Sipser, Michael. **Introduction to the Theory of Computation**, 3rd edition. Cengage Learning, 2012. (Chapters 3 to 6)



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- Church-Turing thesis, Turing machine & its variations
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- **recursive/partial recursive functions**
- **decidability in terms of recursion**
- **arriving at Turing machines**
- decidability of logical theories
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[DSW94] Davis, Martin, Ron Sigal, and Elaine J. Weyuker. **Computability, Complexity, and Languages: Fundamentals of Theoretical Computer Science**. Newnes, 1994. (Chapters 2 to 6)



Theory of Computation

Course note based on *Computability, Complexity, and Languages: Fundamentals of Theoretical Computer Science*, 2nd edition, authored by Martin Davis, Ron Sigal, and Elaine J. Weyuker.

course note prepared by

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Week 1, Spring 2010

Textbook

Martin Davis, Ron Sigal, and Elaine J. Weyuker. *Computability, Complexity, and Languages: Fundamentals of Theoretical Computer Science*, 2nd edition. February 1994, Morgan Kaufmann. ISBN: 0122063821.

- ▶ Written for people who may know programming, but from a mathematical view of the subjects. Enjoyably readable but very rigorous.
- ▶ “It is our purpose . . . to provide an introduction to the various aspects of theoretical computer science for undergraduate and graduate students that is sufficiently comprehensive that . . . research papers will become accessible to our readers.”
(the authors)
- ▶ We will cover just one half of the materials in the book.

Outline of Today's Lecture

- ▶ Review some preliminary materials.
- ▶ Define an abstract programming language \mathcal{S} that is extremely simple.
- ▶ Write some programs in \mathcal{S} .

Cartesian Product

- ▶ If S_1, S_2, \dots, S_n are given sets, then we write $S_1 \times S_2, \times \dots \times S_n$ for the set of all n -tuples (a_1, a_2, \dots, a_n) such that $a_1 \in S_1, a_2 \in S_2, \dots, a_n \in S_n$.
- ▶ $S_1 \times S_2, \times \dots \times S_n$ is called the *Cartesian product* of S_1, S_2, \dots, S_n .
- ▶ In case $S_1 = S_2 = \dots = S_n = S$ we write S^n for the Cartesian product $S_1 \times S_2, \times \dots \times S_n$.

Functions

- ▶ A function f is a set whose members are ordered pairs (i.e., 2-tuples) and has the special property

$$(a, b) \in f \text{ and } (a, c) \in f \text{ implies } b = c.$$

We write $f(a) = b$ to mean that $(a, b) \in f$.

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- ▶ A *partial function* on a set S is a function whose domain is a subset of S . If a partial function on S has the domain S , then it is called a *total function*.

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- ▶ A *partial function* on a set S is a function whose domain is a subset of S . If a partial function on S has the domain S , then it is called a *total function*.
- ▶ We write $f(a) \downarrow$ and say that $f(a)$ is *defined* if a is in the domain of f ; if a is not in the domain of f , we write $f(a) \uparrow$ and say that $f(a)$ is *undefined*.

Examples of Functions

- ▶ Let f be the set of ordered pairs (n, n^2) for $n \in \mathbb{N}$. Then, for each $n \in \mathbb{N}$, $f(n) = n^2$. The domain of f is \mathbb{N} . The range of f is the set of perfect squares. f is a total function.

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- ▶ Assuming \mathbb{N} is our universe, an example of a partial function on \mathbb{N} is given by $g(n) = \sqrt{n}$. The domain of g is the set of perfect squares. The range of g is \mathbb{N} . g is not a total function.

Examples of Functions

- ▶ Let f be the set of ordered pairs (n, n^2) for $n \in N$. Then, for each $n \in N$, $f(n) = n^2$. The domain of f is N . The range of f is the set of perfect squares. f is a total function.
- ▶ Assuming N is our universe, an example of a partial function on N is given by $g(n) = \sqrt{n}$. The domain of g is the set of perfect squares. The range of g is N . g is not a total function.
- ▶ For a partial function f on a Cartesian product $S_1 \times S_2 \times \cdots \times S_n$, we write $f(a_1, \dots, a_n)$ rather than $f((a_1, \dots, a_n))$.

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- ▶ For a partial function f on a Cartesian product $S_1 \times S_2 \times \cdots \times S_n$, we write $f(a_1, \dots, a_n)$ rather than $f((a_1, \dots, a_n))$.
- ▶ A partial function f on a set S^n is called an n -ary partial function on S , or a function of n variables on S . We use *unary* and *binary* for 1-ary and 2-ary, respectively.

Predicate

A *predicate*, or a *Boolean-valued function*, on a set S is a *total function* P on S such that for each $a \in S$, either

$$P(a) = \text{TRUE} \quad \text{or} \quad P(a) = \text{FALSE}$$

We also identify the truth value TRUE with number 1 and the truth value FALSE with number 0.

Logic Connectives

The three *logic connectives*, or *propositional connectives*, \sim , \vee , & are defined by the two tables below.

p	$\sim p$
0	1
1	0

p	q	$p \& q$	$p \vee q$
1	1	1	1
0	1	0	1
1	0	0	1
0	0	0	0

Characteristic Function

Given a predicate P on a set S , there is a corresponding subset R of S consisting of all elements $a \in S$ for which $P(a) = 1$. We write

$$R = \{a \in S \mid P(a)\}.$$

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Conversely, given a subset R of a given set S , the expression $x \in R$ defines a predicate P on S :

$$P(x) = \begin{cases} 1 & \text{if } x \in R \\ 0 & \text{if } x \notin R. \end{cases}$$

The predicate P is called the *characteristic function* of the set R .

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The predicate P is called the *characteristic function* of the set R . Note the easy translations between the two notations:

$$\begin{aligned} \{x \in S \mid P(x) \& Q(x)\} &= \{x \in S \mid P(x)\} \cap \{x \in S \mid Q(x)\}, \\ \{x \in S \mid P(x) \vee Q(x)\} &= \{x \in S \mid P(x)\} \cup \{x \in S \mid Q(x)\}, \\ \{x \in S \mid \sim P(x)\} &= S - \{x \in S \mid P(x)\}. \end{aligned}$$

Bounded Existential Quantifier

Let $P(t, x_1, \dots, x_n)$ be a $(n + 1)$ -ary predicate. Let predicate $Q(y, x_1, \dots, x_n)$ be defined by

$$\begin{aligned} Q(y, x_1, \dots, x_n) &= P(0, x_1, \dots, x_n) \\ &\vee P(1, x_1, \dots, x_n) \\ &\vee \dots \\ &\vee P(y, x_1, \dots, x_n) \end{aligned}$$

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That is, $Q(y, x_1, \dots, x_n)$ is true if there is a value $t \leq y$ such that $P(t, x_1, \dots, x_n)$ is true. We write this predicate Q as

$$(\exists t)_{\leq y} P(t, x_1, \dots, x_n)$$

$(\exists t)_{\leq y}$ is called a *bounded existential quantifier*.

Bounded Universal Quantifier

Let $P(t, x_1, \dots, x_n)$ be a $(n + 1)$ -ary predicate. Let predicate $Q(y, x_1, \dots, x_n)$ be defined by

$$\begin{aligned} Q(y, x_1, \dots, x_n) &= P(0, x_1, \dots, x_n) \\ &\& P(1, x_1, \dots, x_n) \\ &\& \dots \\ &\& P(y, x_1, \dots, x_n) \end{aligned}$$

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Let $P(t, x_1, \dots, x_n)$ be a $(n + 1)$ -ary predicate. Let predicate $Q(y, x_1, \dots, x_n)$ be defined by

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That is, $Q(y, x_1, \dots, x_n)$ is true if for all value $t \leq y$ such that $P(t, x_1, \dots, x_n)$ is true. We write this predicate Q as

$$(\forall t)_{\leq y} P(t, x_1, \dots, x_n)$$

“ $(\forall t)_{\leq y}$ ” is called a *bounded universal quantifier*.

The Programming Language \mathcal{I}

- ▶ Values: natural numbers only, but of unlimited precision.

The Programming Language \mathcal{S}

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- ▶ Variables:
 - ▶ Input variables X_1, X_2, X_3, \dots
 - ▶ An output variable Y
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The Programming Language \mathcal{L}

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 - ▶ An output variable Y
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- ▶ Instructions:
 - $V \leftarrow V + 1$ Increase by 1 the value of the variable V .
 - $V \leftarrow V - 1$ If the value of V is 0, leave it unchanged; otherwise decrease by 1 the value of V .
 - IF $V \neq 0$ GOTO L If the value of V is nonzero, perform the instruction with label L next; otherwise proceed to the next instruction in the list.

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- ▶ Values: natural numbers only, but of unlimited precision.
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- ▶ Labels: $A_1, B_1, C_1, D_1, E_1, A_2, B_2, C_2, D_2, E_2, A_3, \dots$
- ▶ Exit label: E .

The Programming Language \mathcal{I}

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- ▶ Labels: $A_1, B_1, C_1, D_1, E_1, A_2, B_2, C_2, D_2, E_2, A_3, \dots$
- ▶ Exit label: E .
- ▶ All variables and labels are in the global scope.

Programming in \mathcal{I}

- ▶ A program is a list (i.e., a finite sequence) of instructions.
- ▶ *The output variable Y and the local variables Z_i initially have the value 0.*
- ▶ A program halts when there is no more instruction to execute.
- ▶ A program also halts if an instruction labeled L is to be executed, but there is no instruction with that label.

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- ▶ *The output variable Y and the local variables Z_i initially have the value 0.*
- ▶ A program halts when there is no more instruction to execute.
- ▶ A program also halts if an instruction labeled L is to be executed, but there is no instruction with that label.
- ▶ What does this program do?

```
[A]  X ← X - 1  
      Y ← Y + 1  
      IF X ≠ 0 GOTO A
```

A Bug?

- ▶ What does this program do?

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[A]  X ← X - 1  
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A Bug?

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[A]  X ← X - 1  
     Y ← Y + 1  
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```

- ▶ The above program *computes* the function

$$f(x) = \begin{cases} 1 & \text{if } x = 0 \\ x & \text{otherwise.} \end{cases}$$

A Program That Computes $f(x) = x$

```
[A]  IF  $X \neq 0$  GOTO B  
      $Z \leftarrow Z + 1$   
     IF  $Z \neq 0$  GOTO E  
[B]   $X \leftarrow X - 1$   
      $Y \leftarrow Y + 1$   
      $Z \leftarrow Z + 1$   
     IF  $Z \neq 0$  GOTO A
```

A Program That Computes $f(x) = x$

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[A]  IF  $X \neq 0$  GOTO  $B$   
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[B]   $X \leftarrow X - 1$   
      $Y \leftarrow Y + 1$   
      $Z \leftarrow Z + 1$   
     IF  $Z \neq 0$  GOTO  $A$ 
```

- ▶ What does Z actually do?

A Program That Computes $f(x) = x$

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[A]  IF  $X \neq 0$  GOTO  $B$   
      $Z \leftarrow Z + 1$   
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[B]   $X \leftarrow X - 1$   
      $Y \leftarrow Y + 1$   
      $Z \leftarrow Z + 1$   
     IF  $Z \neq 0$  GOTO  $A$ 
```

- ▶ What does Z actually do?
- ▶ What does the following do?

```
 $Z \leftarrow Z + 1$   
IF  $Z \neq 0$  GOTO  $L$ 
```

A Program That Computes $f(x) = x$

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[A]  IF  $X \neq 0$  GOTO  $B$   
      $Z \leftarrow Z + 1$   
     IF  $Z \neq 0$  GOTO  $E$   
[B]   $X \leftarrow X - 1$   
      $Y \leftarrow Y + 1$   
      $Z \leftarrow Z + 1$   
     IF  $Z \neq 0$  GOTO  $A$ 
```

- ▶ What does Z actually do?
- ▶ What does the following do?

```
 $Z \leftarrow Z + 1$   
IF  $Z \neq 0$  GOTO  $L$ 
```

- ▶ That is an unconditional goto!
GOTO L

A *Macro* for Unconditional GOTO

- ▶ Before macro expansion:

```
[A]  IF  $X \neq 0$  GOTO  $B$   
      GOTO  $E$ 
```

```
[B]   $X \leftarrow X - 1$   
       $Y \leftarrow Y + 1$   
      GOTO  $A$ 
```

A Macro for Unconditional GOTO

- ▶ Before macro expansion:

```
[A]  IF  $X \neq 0$  GOTO B  
      GOTO E
```

```
[B]   $X \leftarrow X - 1$   
       $Y \leftarrow Y + 1$   
      GOTO A
```

- ▶ After macro expansion:

```
[A]  IF  $X \neq 0$  GOTO B  
       $Z_1 \leftarrow Z_1 + 1$   
      IF  $Z_1 \neq 0$  GOTO E
```

```
[B]   $X \leftarrow X - 1$   
       $Y \leftarrow Y + 1$   
       $Z_2 \leftarrow Z_2 + 1$   
      IF  $Z_2 \neq 0$  GOTO A
```

A Macro for Unconditional GOTO

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```
[A]  IF  $X \neq 0$  GOTO B
      GOTO E
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[B]   $X \leftarrow X - 1$ 
       $Y \leftarrow Y + 1$ 
      GOTO A
```

- ▶ After macro expansion:

```
[A]  IF  $X \neq 0$  GOTO B
       $Z_1 \leftarrow Z_1 + 1$ 
      IF  $Z_1 \neq 0$  GOTO E
```

```
[B]   $X \leftarrow X - 1$ 
       $Y \leftarrow Y + 1$ 
       $Z_2 \leftarrow Z_2 + 1$ 
      IF  $Z_2 \neq 0$  GOTO A
```

- ▶ *Fresh local variables are always used during macro expansions.*

Copy The Value of Variable X to Variable Y

- ▶ $[A]$ IF $X \neq 0$ GOTO B
GOTO E
- $[B]$ $X \leftarrow X - 1$
 $Y \leftarrow Y + 1$
GOTO A

Copy The Value of Variable X to Variable Y

- ▶ [A] IF $X \neq 0$ GOTO B
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- [B] $X \leftarrow X - 1$
 $Y \leftarrow Y + 1$
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- ▶ Anything wrong?

Copy The Value of Variable X to Variable Y

▶ [A] IF $X \neq 0$ GOTO B
GOTO E

[B] $X \leftarrow X - 1$
 $Y \leftarrow Y + 1$
GOTO A

- ▶ Anything wrong?
- ▶ The value of X is “destroyed” while copied to Y !

Copy The Value of Variable X to Variable Y , Continued

- ▶ [A] IF $X \neq 0$ GOTO B
GOTO C
- [B] $X \leftarrow X - 1$
 $Y \leftarrow Y + 1$
 $Z \leftarrow Z + 1$
GOTO A
- [C] IF $Z \neq 0$ GOTO D
GOTO E
- [D] $Z \leftarrow Z - 1$
 $X \leftarrow X + 1$
GOTO C

Copy The Value of Variable X to Variable Y , Continued

- ▶ [A] IF $X \neq 0$ GOTO B
GOTO C
 - [B] $X \leftarrow X - 1$
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 $X \leftarrow X + 1$
GOTO C
- ▶ Anything wrong?

Copy The Value of Variable X to Variable Y , Continued

```
▶ [A]  IF  $X \neq 0$  GOTO  $B$   
      GOTO  $C$   
[B]   $X \leftarrow X - 1$   
       $Y \leftarrow Y + 1$   
       $Z \leftarrow Z + 1$   
      GOTO  $A$   
[C]  IF  $Z \neq 0$  GOTO  $D$   
      GOTO  $E$   
[D]   $Z \leftarrow Z - 1$   
       $X \leftarrow X + 1$   
      GOTO  $C$ 
```

- ▶ Anything wrong?
- ▶ This program is correct only when Y and Z are initialized to the value 0. It cannot be used as a macro.

A Macro for $V \leftarrow V'$

- ▶ $V \leftarrow 0$
- [A] IF $V' \neq 0$ GOTO B
GOTO C
- [B] $V \leftarrow V' - 1$
 $V \leftarrow V + 1$
 $Z \leftarrow Z + 1$
GOTO A
- [C] IF $Z \neq 0$ GOTO D
GOTO E
- [D] $Z \leftarrow Z - 1$
 $V' \leftarrow V' + 1$
GOTO C

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A Macro for $V \leftarrow V'$

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 $Z \leftarrow Z + 1$
 GOTO A
- [C] IF $Z \neq 0$ GOTO D
 GOTO E
- [D] $Z \leftarrow Z - 1$
 $V' \leftarrow V' + 1$
 GOTO C
- ▶ Anything wrong?
- ▶ $V \leftarrow 0$ is not an instruction in \mathcal{S} .

A Macro for $V \leftarrow 0$

A Macro for $V \leftarrow 0$

```
[L]  V ← V - 1  
     IF V ≠ 0 GOTO L
```

A Program That Computes $f(x_1, x_2) = x_1 + x_2$

```
    Y ← X1
    Z ← X2
[B]  IF Z ≠ 0 GOTO A
      GOTO E
[A]  Z ← Z - 1
      Y ← Y + 1
      GOTO B
```

Note that Z is used to preserve the value of X_2 so that it will not be destroyed during the computation.