



Formal Languages & Automata

Ali Shakiba

Vali-e-Asr University of Rafsanjan

<ali.shakiba@vru.ac.ir>

More Basic Terms

- If w is a string, then w^n is the string obtained by repeating w for n times.
 - Special case: $w^0 = \lambda$ for all w .
- If Σ is an alphabet, then Σ^* is the set of strings obtained by concatenating zero or more symbols from Σ .
 - Σ^* always contains λ
 - $\Sigma^+ = \Sigma^* - \{\lambda\}$ is the set of all nonempty strings
- Even though Σ is finite, Σ^* and Σ^+ are infinite since there is no limit on the string lengths.

The * operator is known as the Kleene star.

We Have Languages!

- Recall that a language has **rules** that determine whether a given string is a **sentence** in the language.
 - We often refer to strings in a language as sentences.
- Therefore, any **subset of Σ^*** is a language.
- The rules are those that determine **membership** in the subset.

Example Languages

- Let $\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$
- The subset $\{a, aa, aab\}$ is a **language on Σ** .
 - The membership rule is trivial since we explicitly listed the members of the subset.
- It is a **finite language** because it contains a finite number of sentences.

Example Languages, *cont'd*

- Let $\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$

- The subset

$$L = \{a^n b^n : n \geq 0\}$$

is a language on Σ .

- The strings *aabb* and *aaaabbbb* are sentences in *L*.
- The string *abb* is not a sentence in *L*.
- This is an **infinite language**.
 - Most interesting languages are infinite.

String Concatenation

- If you concatenate two sentences of a language, is the result always a sentence of the language?
 - Let $L = \{an : n \text{ is odd}\}$
 - Consider strings u and v in L .
 - Is uv in L ?

Languages are Sets

- We can calculate the union, intersection, and difference of two languages.
 - Recall Georg Cantor.

- The **complement** of language L is

$$\bar{L} = \Sigma^* - L$$

- The **reverse** of a language is the set of all string reversals:

$$L^R = \{w^R : w \text{ in } L\}$$

Languages are Sets, *cont'd*

- The concatenation of two languages L_1 and L_2 :

$$L_1L_2 = \{xy : x \text{ in } L_1, y \text{ in } L_2\}$$

- L^n is L concatenated with itself n times.
 - $L^0 = \{\lambda\}$ and $L^1 = L$

- Star closure

$$L^* = L^0 \cup L^1 \cup L^2 \dots$$

- Positive closure

$$L^+ = L^1 \cup L^2 \dots$$

Infinite sets!

Example Languages, *cont'd*

- Let

$$L = \{a^n b^n : n \geq 0\}$$

- Then

$$L^2 = \{a^n b^n a^m b^m : n \geq 0, m \geq 0\}$$

- n and m are unrelated.
 - The string $aabbaaabb$ is in L .
- The reverse

$$L^R = \{b^n a^n : n \geq 0\}$$

Rules of a Language

- The rules of a language must enable us to decide, **in a finite amount of time**, whether a given string is a sentence of the language.
- Two kinds of rules:
 1. Rules that tell us whether or not a string is a sentence of the language.
 2. Rules that tell us how to **generate** all the sentences of the language.

Example Languages, *cont'd*

- Let $L_1 = \{aa, b\}$
- Then

$L_1^* = \{\lambda \text{ plus any string composed of factors of } aa \text{ and } b\}$
 $= \{\lambda \text{ plus all strings of } a\text{'s and } b\text{'s where the } a\text{'s are in even groups}\}$

Example Languages, *cont'd*

- Let $L_2 = \{a, ab\}$
- Then

$$\begin{aligned} L_2^* &= \{\lambda \text{ plus any string composed of factors of } a \text{ and } ab\} \\ &= \{\lambda \text{ plus all strings of } a\text{'s and } b\text{'s except those that start with } b \\ &\quad \text{and those that contain any double } b\} \end{aligned}$$

- Is the string $abaab$ a sentence of L_2^* ?
 - Yes. We can factor the string as $(ab)(a)(ab)$ and each factor is in L_2 .
 - This factoring is unique.

Example Languages, *cont'd*

- Let $L_3 = \{aa, aaa\}$
- Then

$L_3^* = \{\lambda \text{ plus any string composed of more than one } a\}$

- Is the string $a^7 = aaaaaaa$ a sentence of L_3^* ?
 - Yes. We can factor the string as $(aa)(aa)(aaa)$ or $(aa)(aaa)(aa)$ or $(aaa)(aa)(aa)$.
 - This factoring is not unique.

What is L^{**} ?

- Every string in L^{**} is composed of factors from L^* .
- Every string in L^* is composed of factors from L .
- Therefore, every string in L^{**} is composed of factors from L .
- Therefore, every string in L^{**} is also a string in L^* :

$$L^{**} \subseteq L^*$$

- For any set S , $S \subseteq S^*$. So let $S = L^*$. Then

$$L^* \subseteq L^{**}$$

- Therefore, $L^{**} = L^*$.

Grammars

- The **grammar** of a language is the set of rules that determine whether or not a sentence is in the language.

- Example: From English grammar:

<sentence> → *<noun phrase>* *<predicate>*

<noun phrase> → *<article>* *<noun>*

<predicate> → *<verb>*

- If *<article>* is “a” or “the”, *<noun>* is “boy” or “dog”, and *<verb>* is “runs” or “walks”, then proper sentences are “a boy runs” and “the dog walks”.

Grammars, *cont'd*

- A grammar G is defined as the quadruple

$$G = (V, T, S, P)$$

where:

- V is a finite set of objects called **variables**
- T is a finite set of objects called **terminal symbols**
- S in V is a special symbol called the **start variable**
- P is a finite set of **production rules**

Production Rules

- The production rules specify how the grammar **transforms one string to another**.
- They define a language associated with the grammar.
- All production rules are of the form $x \rightarrow y$ where $x \in (V \cup T)^+$ and $y \in (V \cup T)^*$.

Derivations

- If we apply to the string

$$w = uxv$$

the production rule

$$x \rightarrow y$$

we obtain the new string

$$z = uyv$$

- We say that w derives z , or z is derived from w and write

$$w \Rightarrow z$$

Derivations, *cont'd*

- We can derive successive strings by applying production rules of the grammar in any order.
- We can use a production rule whenever it is applicable, and we can apply it as often as we desire.
- If

$$w_1 \Rightarrow w_2 \Rightarrow \dots \Rightarrow w_n$$

then w_1 derives w_n , and we can write

$$w_1 \xRightarrow{*} w_n$$

Language Generation by a Grammar

- By applying the production rules in a different order, a grammar can generate many strings.
- The set of all such terminal strings is the **language generated by the grammar**.
 - AKA the language defined by the grammar.
- Let $G = (V, T, S, P)$ be a grammar. Then the set

$$L(G) = \{w \in T^* : S \xRightarrow{*} w\}$$

is the language generated by G .

Sentential Forms

- If $w \in L(G)$, then the sequence

$$S \Rightarrow w_1 \Rightarrow w_2 \Rightarrow \dots \Rightarrow w_n \Rightarrow w$$

is a derivation of the sentence w .

- The strings S, w_1, w_2, \dots, w_n are **sentential forms** of the derivation.
- Sentential forms can contain both variables and terminals.

Grammar Examples

- Let grammar $G = (\{S\}, \{a, b\}, S, P)$ with P given by

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

- Then

sentential forms

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$

so we can write

$$S \xRightarrow{*} aabb$$

- Therefore, the string $aabb$ is in the language

$$L(G) = \{a^n b^n : n \geq 0\}$$

Grammar Examples, *cont'd*

- Find a grammar that generates the language

$$L = \{a^n b^{n+1} : n \geq 0\}$$

- The language is similar to the previous example but with an extra b .
We add the production rule

$$S \rightarrow Ab$$

- Therefore, $G = (\{S, A\}, \{a, b\}, S, P)$ with the production rules

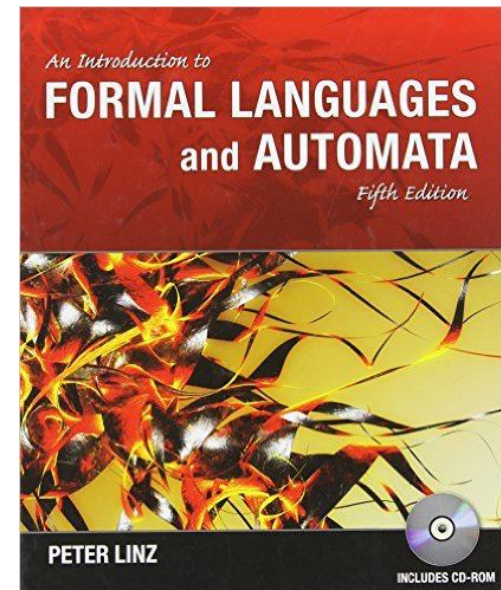
$$S \rightarrow Ab$$

$$A \rightarrow aAb$$

$$A \rightarrow \lambda$$

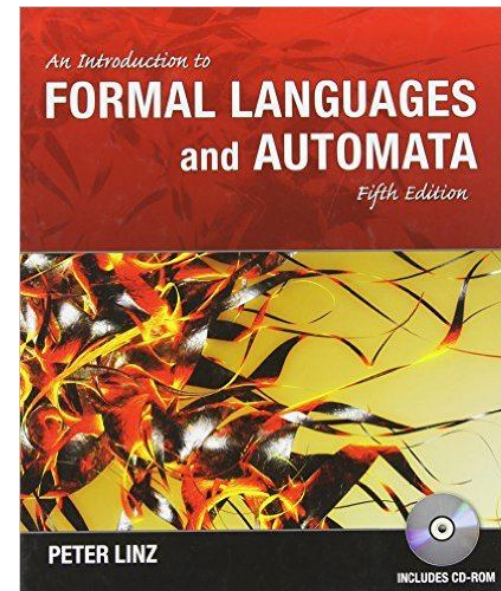
We have learnt ...

- Chapter 1



Next session, we will learn ...

- Sections 2.1 to 2.2
 - (Non)deterministic Finite Automata



Do not forget ...

- Install JFLAP on your machine
- Solve the first set of assignments
- Due, next **Tuesday!**