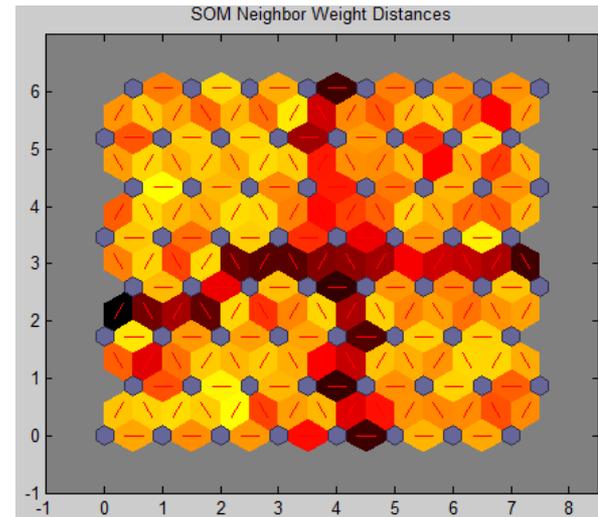
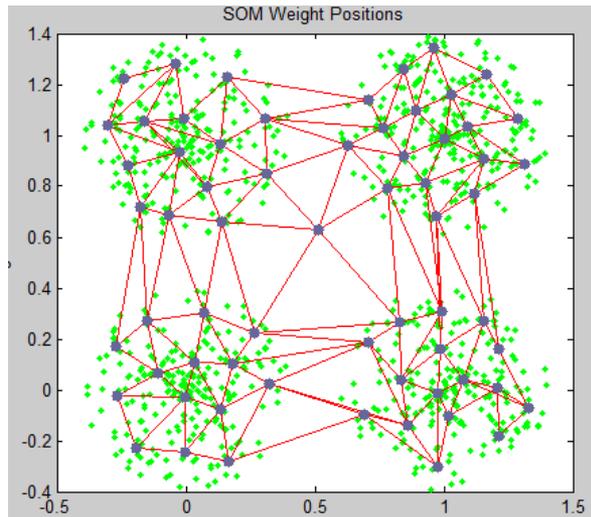


# Neural Networks

## Self Organizing Feature Maps



# Self Organizing Maps - Fundamentals

2

1. What is a Self Organizing Map?
2. Topographic Maps
3. Setting up a Self Organizing Map
4. Kohonen Networks
5. Components of Self Organization
6. Overview of the SOM Algorithm

# What is a Self Organizing Map?

3

- So far we have looked at networks with **supervised training** techniques
- We now turn to **unsupervised training**, in which the networks learn to form their own classifications of the training data without external help.
- We assume that input patterns share **common features**
- The network will identify those features across the range of input patterns.
- An interesting class of unsupervised system is based on **competitive learning**

# What is a Self Organizing Map? (cnt'd)

4

- The output neurons **compete** amongst themselves to be activated
- Only one is activated at any time.
- This activated neuron is called the **winning neuron** (winner-takes-all neuron).
- Such competition can be implemented by having **lateral inhibition connections** between the neurons.
- The result is that the neurons **are forced to organize themselves**.
- Such a network is called a **Self Organizing Map (SOM)**.

# Topographic Maps - Biological motivation

5

- Mapping 2D continuous inputs from sensory organ (eyes, ears, skin, etc) to two dimensional discrete outputs in the nerve system.
  - Retinotopic map: from eye (retina) to the visual cortex.
  - Tonotopic map: from the ear to the auditory cortex
- These maps preserve topographic orders of input.
- Biological evidence shows that the connections in these maps are not entirely “pre-programmed” or “pre-wired” at birth. **Learning must occur** after the birth to create the necessary connections for appropriate topographic mapping.

# Topographic Maps

6

- **In topographic map:**
  - Neurons dealing with closely related pieces of information are kept close together so that they can interact via short synaptic connections.
  
  - Our interest is in building artificial topographic maps that learn through self-organization in a neurobiological inspired manner.

# Setting up a Self Organizing Map

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- The goal of SOM
  - **Transform** input patterns of arbitrary dimension into 1D or 2D discrete map, adaptively in a topologically ordered fashion
- We therefore set up our SOM by placing neurons at the nodes of a **one or two dimensional lattice**.
- Higher dimensional maps are also possible, but not so common

# SOM Lattice

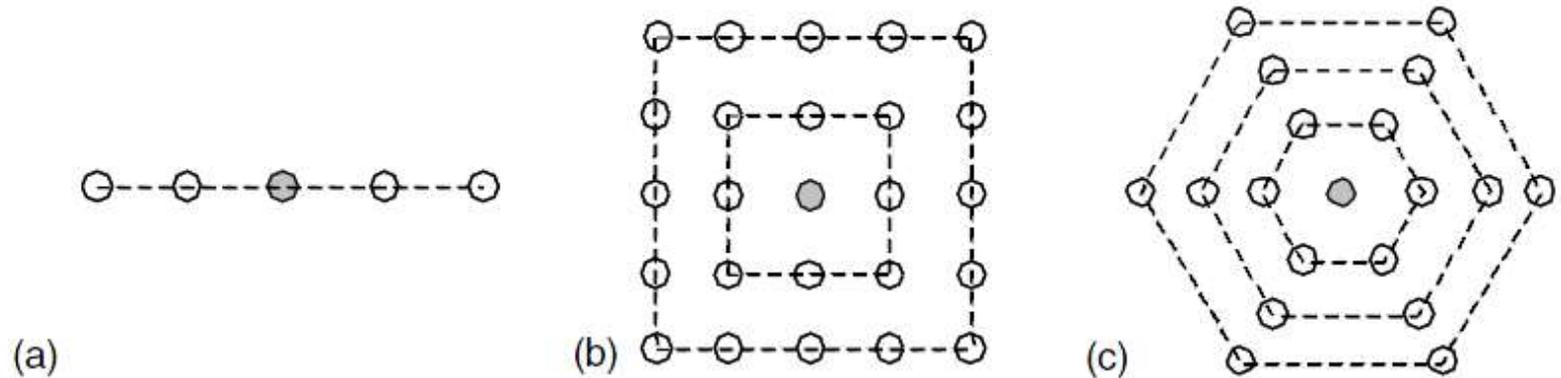


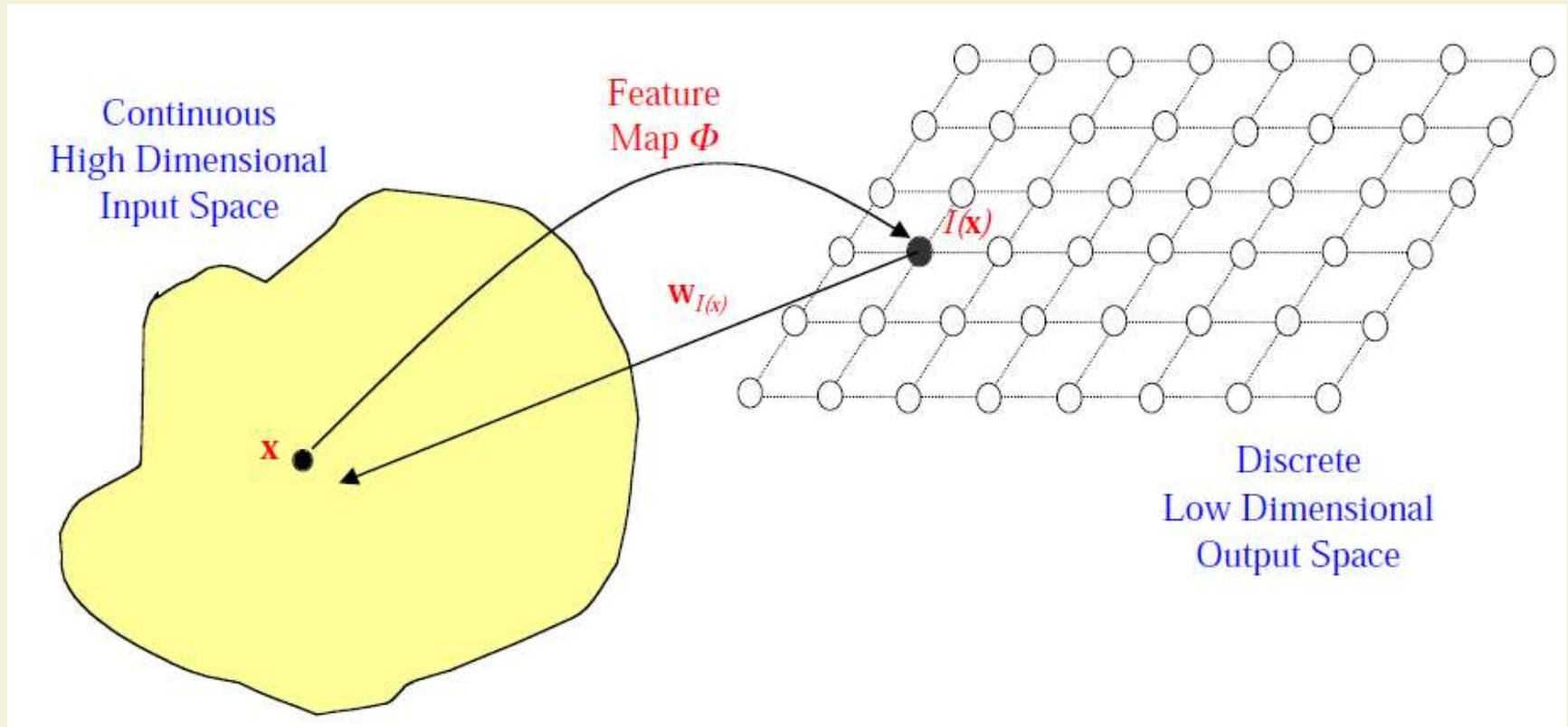
Figure 8.11 Neighborhood definitions: (a) linear (b) square, and (c) hexagonal neighborhood surrounding a winning neuron (solid circle denotes winner and empty circles denote neighbors).

# Setting up a Self Organizing Map

- The neurons become *selectively tuned* to various input patterns or classes of input patterns during the course of the competitive learning.
- The locations of the winning neurons become ordered and a meaningful *coordinate system* for the *input features* is created on the lattice.
- The SOM thus forms the required topographic map of the input patterns.

# Organization of the Mapping

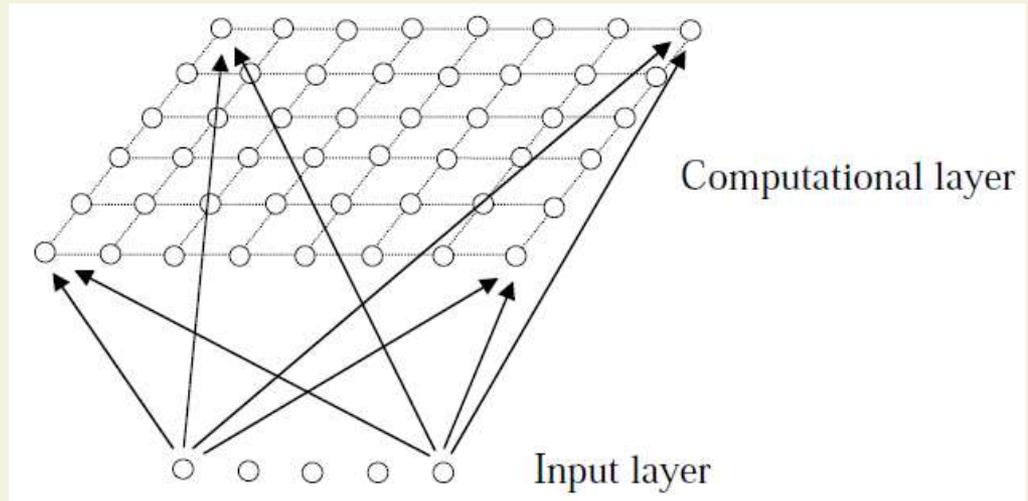
10



# Kohonen Networks

11

- A particular kind of SOM known
- This SOM has a feed-forward structure with a single computational layer arranged in rows and columns.
- Each neuron is fully connected to all the source nodes in the input layer:



- Clearly, a one dimensional map will just have a single row (or a single column) in the computational layer

# Components of Self Organization

12

- The self-organization process involves four major components:
- **Initialization:**
  - All the connection weights are initialized with small random values.
- **Competition:**
  - For each input, the neurons compute their respective values of a *discriminant function* which provides the basis for competition.
  - Neuron with the smallest value of the discriminant function is **the winner**
- **Cooperation:**
  - Winning neuron determines the spatial location of a topological neighborhood of excited neurons for cooperation
- **Adaptation:**
  - Excited neurons decrease their individual values of the discriminant function, such that the response of the winning neuron to the subsequent application of a similar input pattern is enhanced.

# The Competitive Process

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- Assume input space is  $D$  dimensional
  - $\mathbf{x} = \{x_i : i = 1, \dots, D\}$
- And the connection weights between the input units  $i$  and the neurons  $j$  in the computation layer is:
  - $\mathbf{w}_j = \{w_{ji} : j = 1, \dots, N; i = 1, \dots, D\}$
  - where  $N$  is the total number of neurons.
- Discriminant function
  - Can be the squared Euclidean distance between input  $\mathbf{x}$  and the weight vector  $\mathbf{w}_j$  for each neuron  $j$ :

$$d_j(\mathbf{x}) = \sum_{i=1}^D (x_i - w_{ji})^2$$

# The Competitive Process (cnt'd)

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- In other words, the neuron whose weight vector comes **closest to the input vector** (i.e. is most similar to it) is declared the winner.
- In this way the continuous input space can be mapped to the discrete output space of neurons by a simple process of **competition between the neurons**.

# The Cooperative Process

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- In neurobiological studies there is *lateral interaction* within a set of excited neurons.
- When one neuron fires, its closest neighbors tend to get excited more than those further away.
- There is a *topological neighborhood* that decays with distance.
- Similar topological neighborhood in SOM:
  - If  $S_{ij}$  is the lateral distance between neurons  $i$  and  $j$  on the grid of neurons, we take the following as our topological neighborhood

$$T_{j,I(\mathbf{x})} = \exp(-S_{j,I(\mathbf{x})}^2 / 2\sigma^2)$$

# The Cooperative Process (cnt'd)

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- $I(\mathbf{x})$  is the index of the winning neuron.
- This has important properties:
  - it is maximal at the winning neuron
  - it is symmetrical about that neuron
  - it decreases monotonically to zero as the distance goes to infinity
  - and it is translation invariant (i.e. independent of the location of the winning neuron)
- A special feature of the SOM is that the size  $\sigma$  of the neighborhood needs to **decrease with time**.
- A popular time dependence is an exponential decay:
  - $\sigma(t) = \sigma_0 \exp(-t/\tau_\sigma)$ .
  - $\sigma_0$  = radius of the lattice
  - $\tau_\sigma = 1000/\log \sigma_0$

$$T_{j, I(\mathbf{x})} = \exp(-S_{j, I(\mathbf{x})}^2 / 2\sigma^2)$$

# The Adaptive Process

17

- SOM must involve some kind of adaptive, or **learning** process, by which the outputs become self-organized and the **feature map** between inputs and outputs is formed.
- The point of the topographic neighborhood is that not only the winning neuron gets its weights updated, but **its neighbors will have their weights updated as well**, although by not as much as the winner itself.

# The Adaptive Process (cnt'd)

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- Weight update:
  - $\Delta w_{ji} = \eta(t) T_{jI(x)}(t) (x_i - w_{ji})$
  - Where  $\eta(t)$  is a time (epoch) dependent learning rate  $\eta(t) = \eta_0 \exp(-t / \tau_\eta)$
  - $0.01 < \eta < 0.1$  (e.g.  $\eta_0 = 0.1$  and  $\tau_\eta = 1000$ )
  
- Updates are applied for all the training patterns  $\mathbf{x}$  over many epochs.
  
- The effect of each learning weight update is to move the weight vectors  $w_i$  of the winning neuron and its neighbors towards the input vector  $\mathbf{x}$ .
  
- Repeated presentations of the training data thus leads to topological ordering.

# Remarks

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- Reduction of neighboring distance  $T$  (or  $D$ ) should be slower than  $\eta$  reduction
- $D$  can be a constant through out the learning
- Effect of learning
  - For each input  $i$ , not only the weight vector of winner is pulled closer to  $i$ , but also the weights of close neighbors (within the radius of  $D$ ).
- Eventually,  $W_j$  becomes close (similar) to  $W_{j\pm 1}$ . The classes they represent are also similar.
- May need large initial  $D$  in order to establish topological order of all nodes

# Remarks (cnt'd)

20

□ Find  $j^*$  for a given input  $i_l$ :

□ With minimum distance between  $w_j$  and  $i_l$ .

□ Distance:  $\text{dist}(w_j, i_l) = \|w_j - i_l\|_2 = \sum_{k=1}^n (i_{l,k} - w_{j,k})^2$

□ Minimizing  $\text{dist}(w_j, i_l)$  can be realized by maximizing

$$o_j = i_l \cdot w_j = \sum_k w_{j,k} \cdot i_{l,k}$$

□ Assume that  $i_l$  and  $w_j$  have *unity magnitude*

$$\text{dist}(w_j, i_l) = \sum_{k=1}^n (i_{l,k}^2 + w_{j,k}^2 - 2i_{l,k} \cdot w_{j,k})$$

$$= \sum_{k=1}^n i_{l,k}^2 + \sum_{k=1}^n w_{j,k}^2 - 2 \sum_{k=1}^n i_{l,k} \cdot w_{j,k}$$

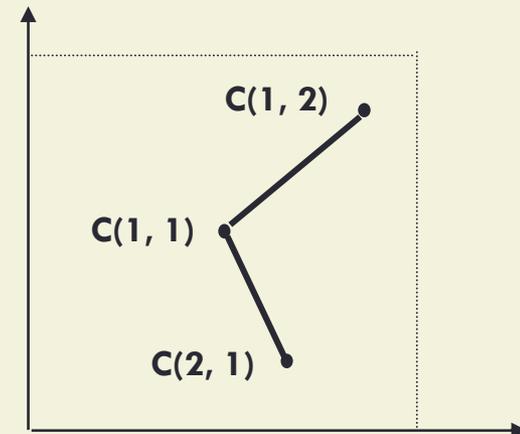
$$= -2 \sum_{k=1}^n i_{l,k} \cdot w_{j,k} + 2 = -2i_l \cdot w_j + 2$$

# How to illustrate Kohonen map (for 2-D patterns)

21

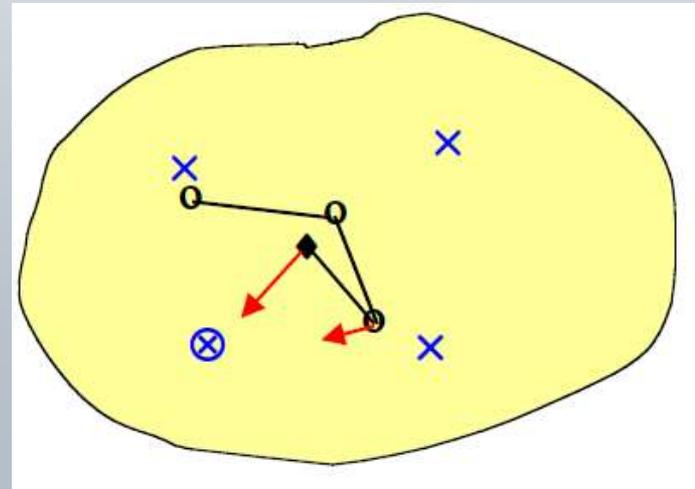
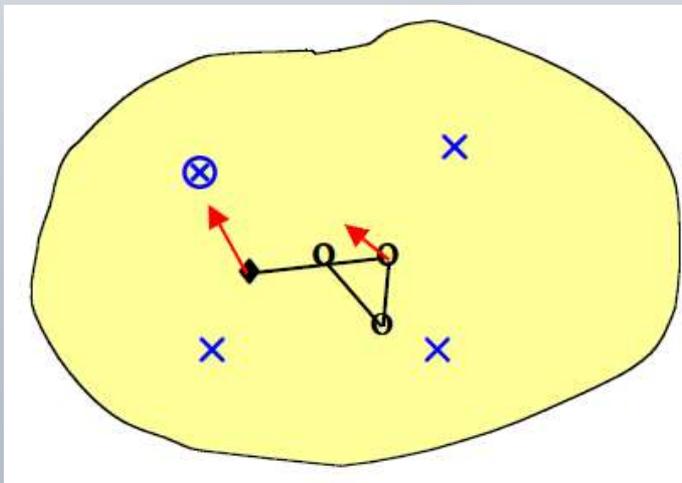
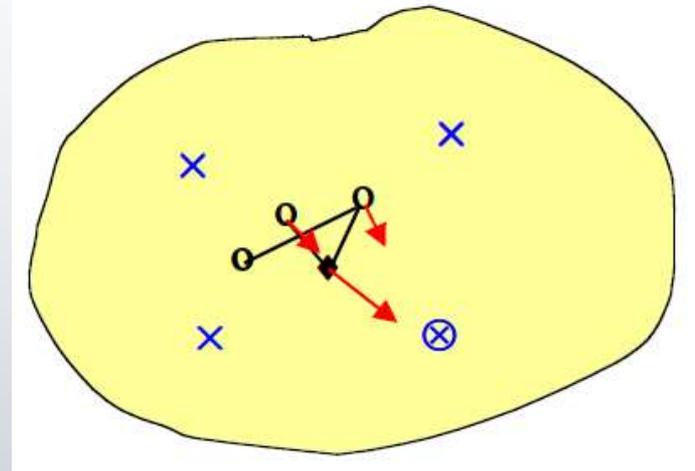
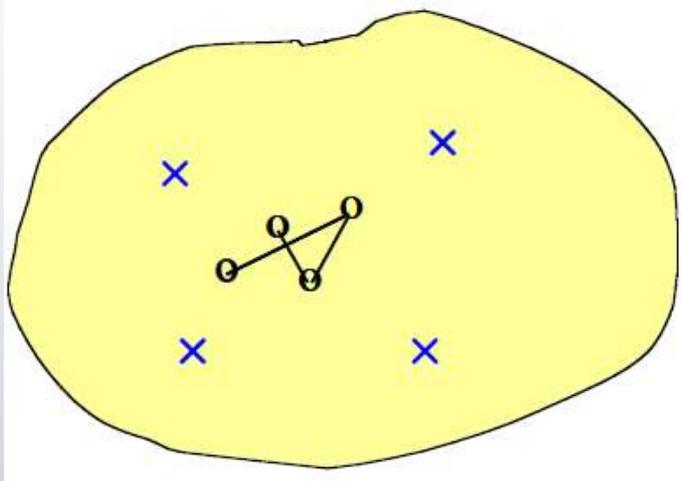
- Input vector: 2 dimensional
  - ▣ Output vector: 1 dimensional line/ring or 2 dimensional grid.
  - ▣ Weight vector is also 2 dimensional
- Represent the topology of output nodes by points on a 2 dimensional plane. Plotting each output node on the plane with its weight vector as its coordinates.
- Connecting neighboring output nodes by a line

output nodes:	(1, 1)	(2, 1)	(1, 2)
weight vectors:	(0.5, 0.5)	(0.7, 0.2)	(0.9, 0.9)



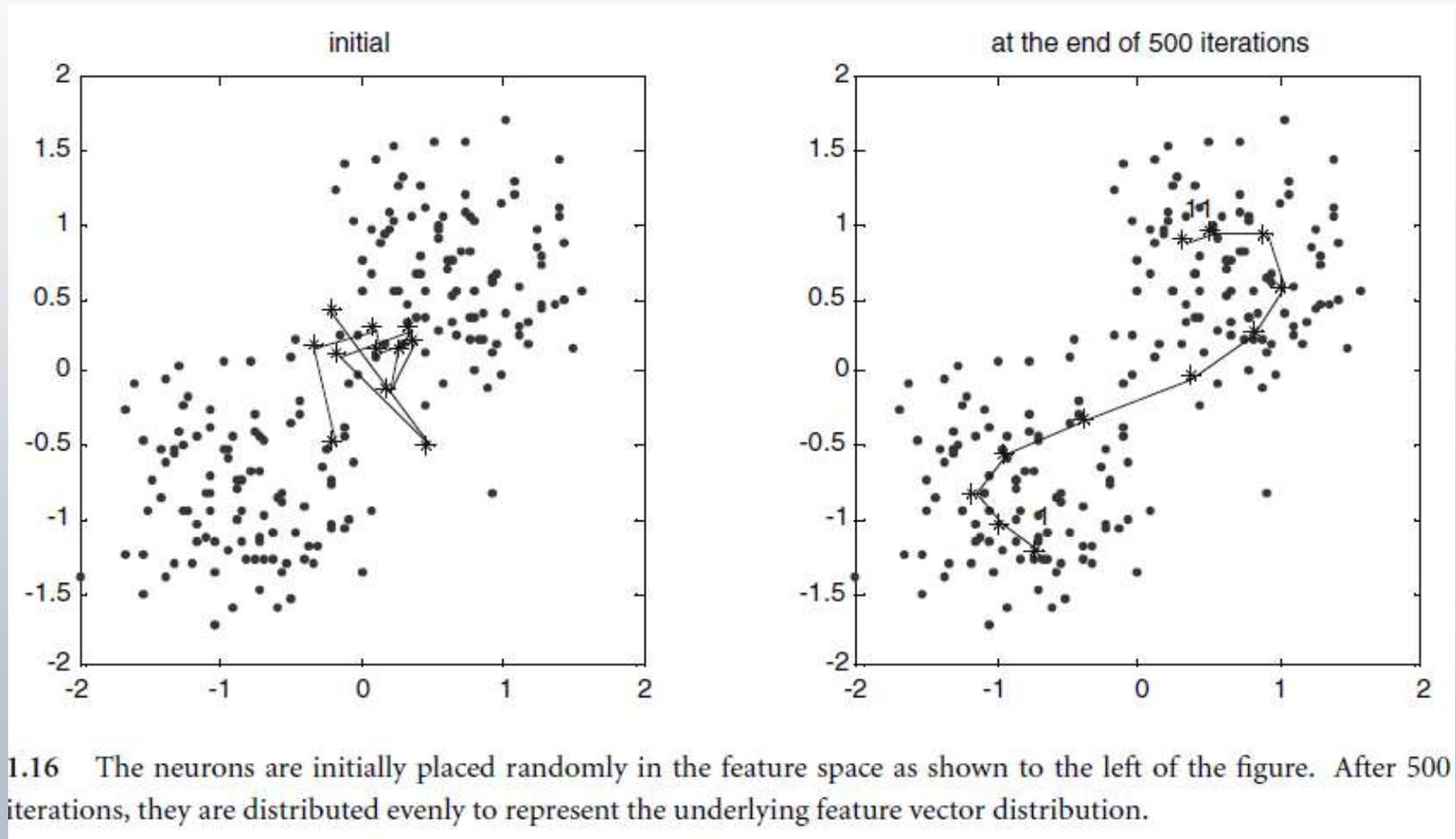
# SOM Visualization - Linear

22



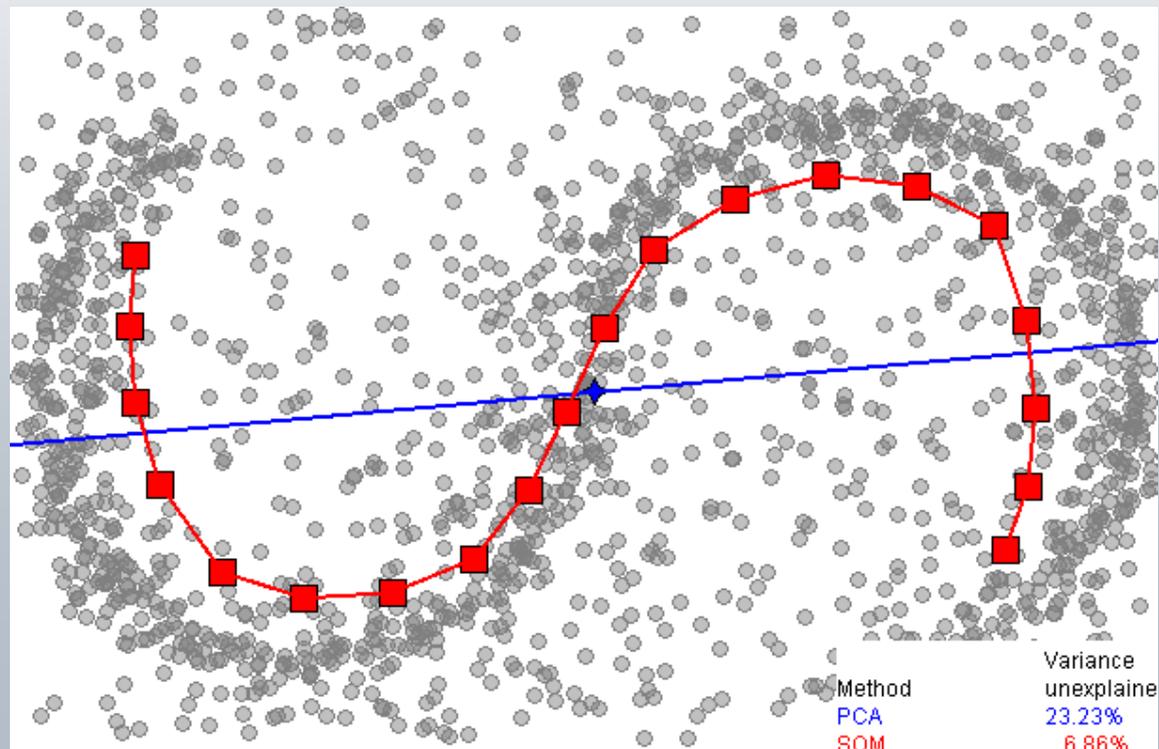
# SOM Illustration - Linear

23



# SOM vs. PCA

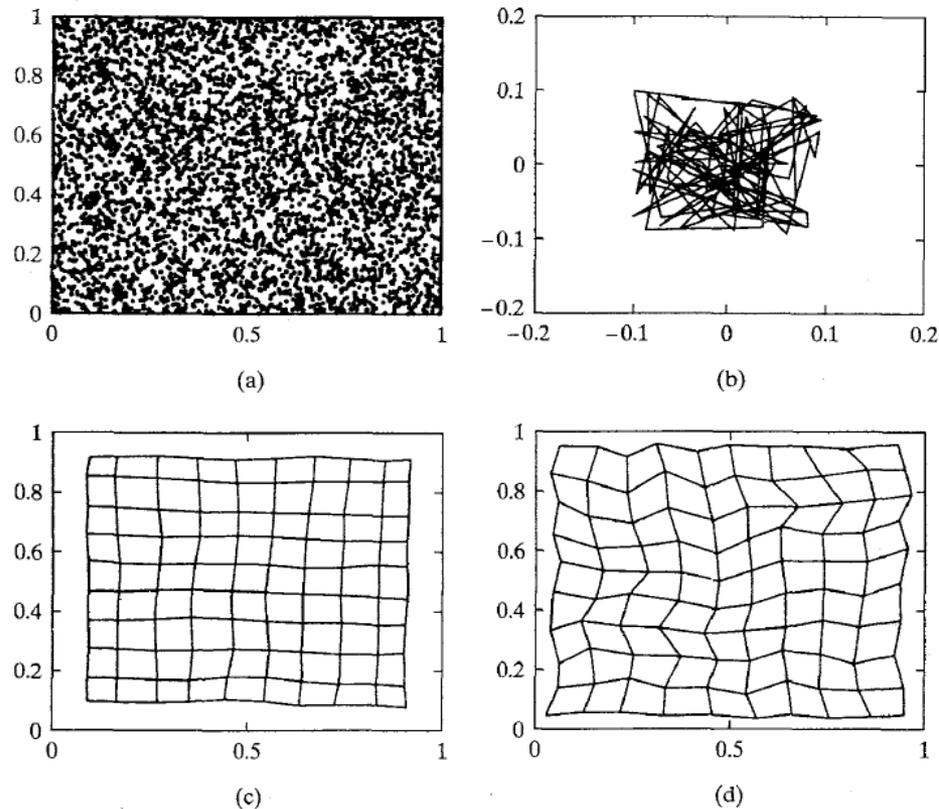
One-dimensional SOM versus principal component analysis (PCA) for data approximation. SOM is a red broken line with squares, 20 nodes. The first principal component is presented by a blue line. Data points are the small grey circles. For PCA, the fraction of variance unexplained in this example is 23.23%, for SOM it is 6.86%.



# Ordering and Convergence

- Having the parameters ( $\sigma_0$ ,  $\tau_\sigma$ ,  $\eta_0$ ,  $\tau_\eta$ ) selected properly, we can start from an initial state of **complete disorder**, and the SOM algorithm will gradually lead to an organized representation of activation patterns drawn from the input space.
- However, it is possible to end up in a **metastable state** in which the feature map has a topological defect.

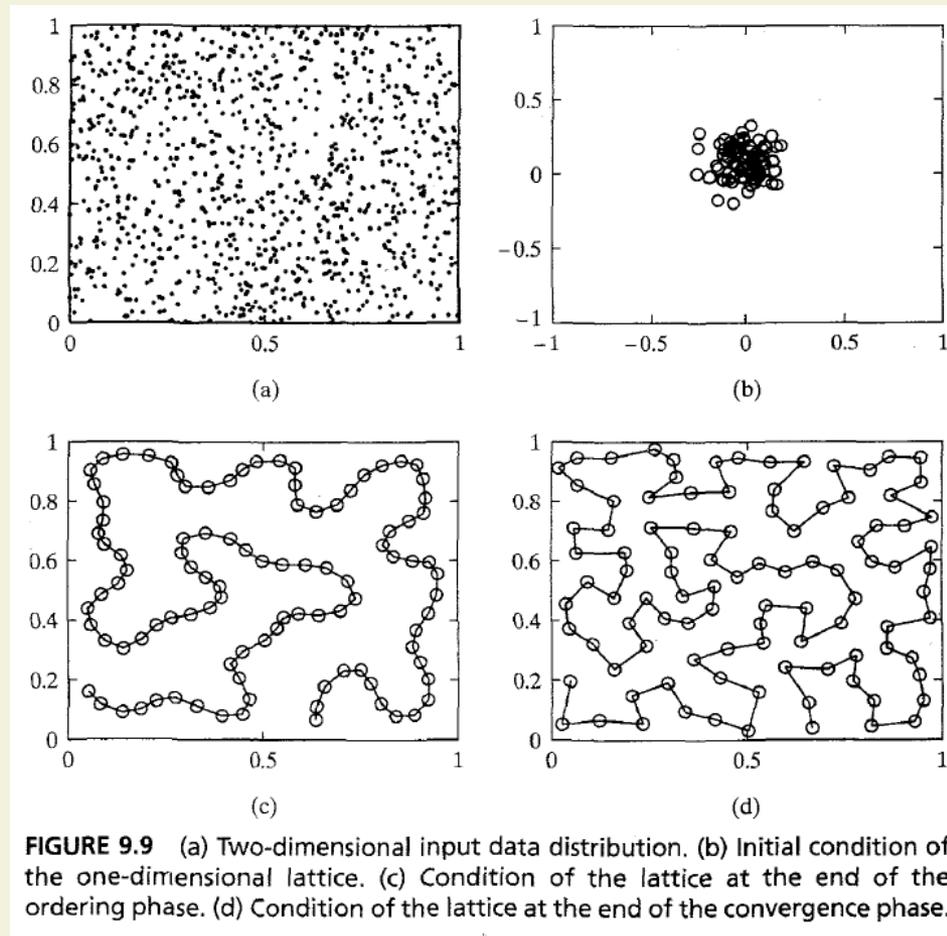
# Ordering and Convergence



**FIGURE 9.8** (a) Input data distribution. (b) Initial condition of the two-dimensional lattice. (c) Condition of the lattice at the end of the ordering phase. (d) Condition of the lattice at the end of the convergence phase.

# Ordering and Convergence

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# Traveling Salesman Problem (TSP)

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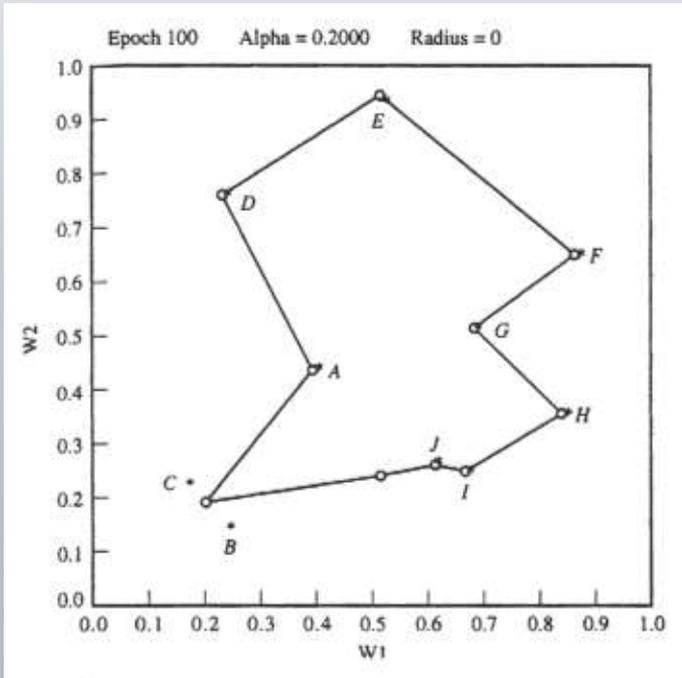
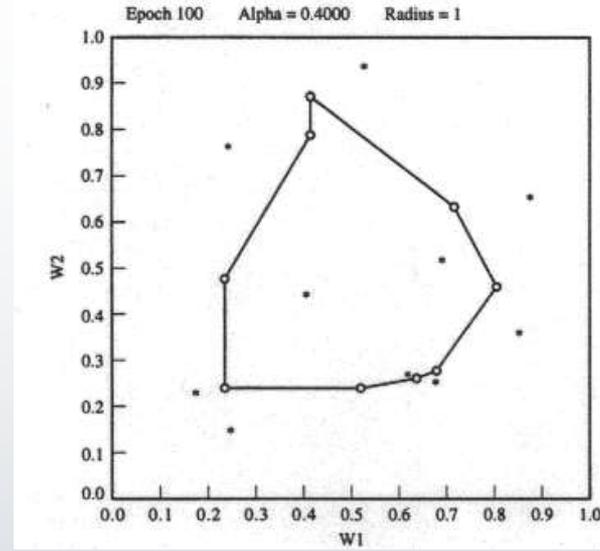
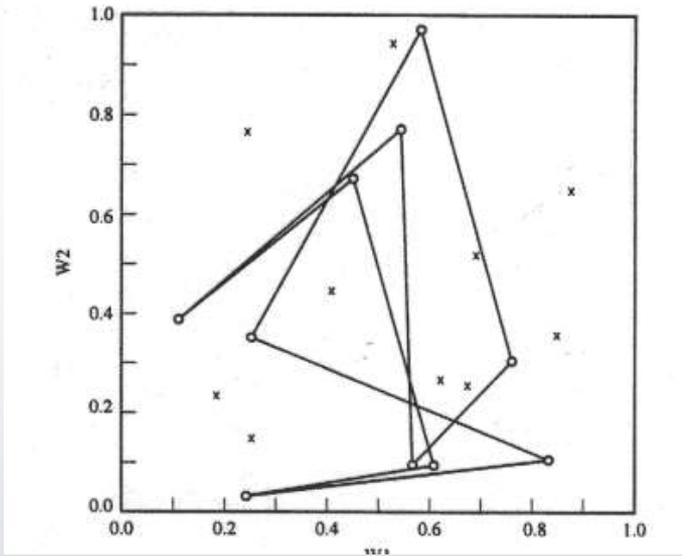
- Given a road map of  $n$  cities, find the **shortest** tour which visits every city on the map exactly once and then return to the original city
- (Geometric version):
  - A complete graph of  $n$  vertices on a unit square.
  - Each city is represented by its coordinates  $(x_i, y_i)$
  - $n!/2n$  legal tours
  - Find one legal tour that is shortest

# Approximating TSP by SOM

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- Each city is represented as a 2 dimensional **input** vector  $(x_i, y_i)$
- Output nodes  $C_j$ , form a SOM of one dimensional **ring**,  $(C_1, C_2, \dots, C_n, C_1)$ .
- Initially,  $C_1, C_2, \dots, C_n$  have random weight vectors, so we don't know how these nodes correspond to individual cities.
- During learning, a winner  $C_j$  on an input  $(x_i, y_i)$  of city  $i$ , not only moves its  $w_j$  toward  $(x_i, y_i)$ , but also that of its neighbors  $w_{j+1}$  and  $w_{j-1}$ .
- As a result,  $C_{j-1}$  and  $C_{j+1}$  will later be more likely to win with input vectors similar to  $(x_i, y_i)$ , i.e. those cities closer to  $i$ .
- At the end, if a node  $j$  represents city  $i$ , it would end up to have its neighbors  $j + 1$  or  $j - 1$  to represent cities similar to city  $i$  (i.e., cities close to city  $i$ ).

# Initial position



Two candidate solutions:

**ADEFGHIJBC**

**ADEFGHIJCB**

# SOM Architecture in brief

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## □ Two layer network:

### ■ Output layer:

- Each node represents a class (of inputs)
- Node function :  $o_j = i_l \cdot w_j = \sum_k w_{j,k} \cdot i_{l,k}$
- Neighborhood relation is defined over these nodes
  - $N_i(t)$ : set of nodes within distance  $D(t)$  to node  $j$ .
- Each node cooperates with all its neighbors and competes with all other output nodes.
- Cooperation and competition of nodes
  - $D = 0$ : all nodes are competitors (no cooperative)  
→ random map
  - $D > 0$ : → topology preserving map

## Algorithm SelfOrganize;

- Select network topology (neighborhood relation);
  - Initialize weights randomly, and select  $D(0) > 0$ ;
  - while computational bounds are not exceeded, do
    1. Select an input sample  $i_\ell$ ;
    2. Find the output node  $j^*$  with minimum  $\sum_{k=1}^n (i_{\ell,k}(t) - w_{j,k}(t))^2$ ;
    3. Update weights to all nodes within a topological distance of  $D(t)$  from  $j^*$ , using
$$w_j(t + 1) = w_j(t) + \eta(t)(i_\ell(t) - w_j(t)),$$
where  $0 < \eta(t) \leq \eta(t - 1) \leq 1$ ;
    4. Increment  $t$ ;
- end-while.



- Run SOM.m
- newlvq, selforgmap, newsom (obsoleted)