



Formal Languages & Automata

Ali Shakiba

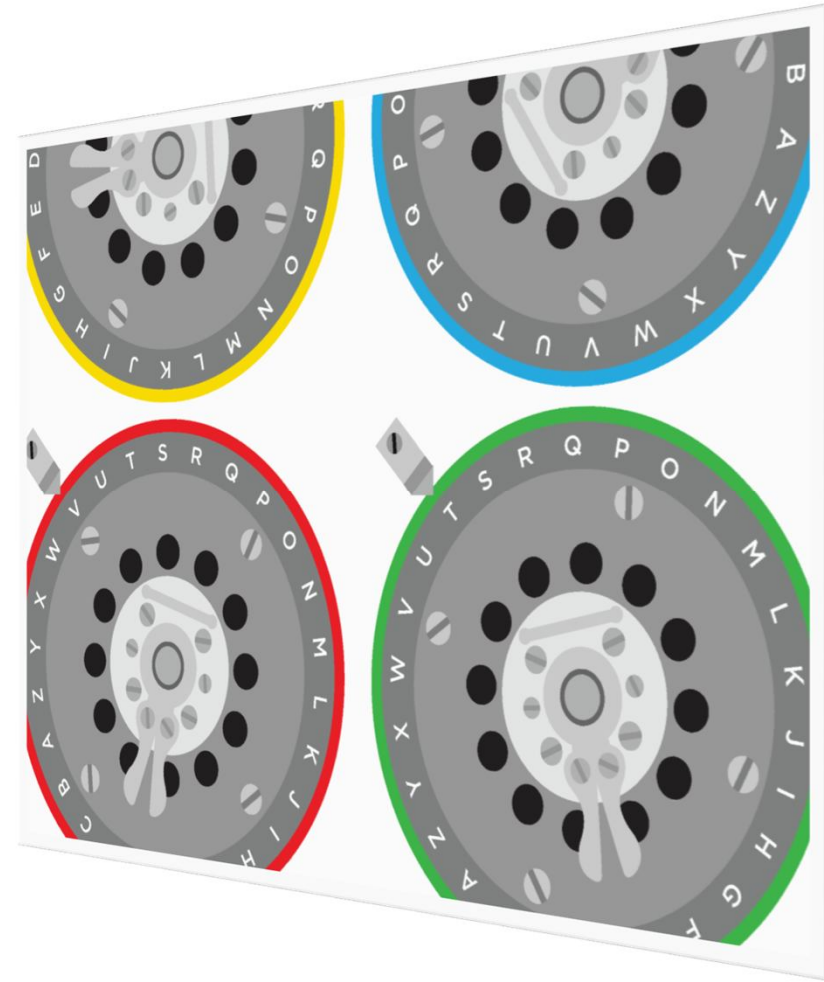
Vali-e-Asr University of Rafsanjan

<ali.shakiba@vru.ac.ir>

Goals of the Course

- Understand the **fundamental capabilities** and **ultimate limitations** of computation.
 - AKA **theory of computation**
- Introduction to the theory of **computational complexity**.

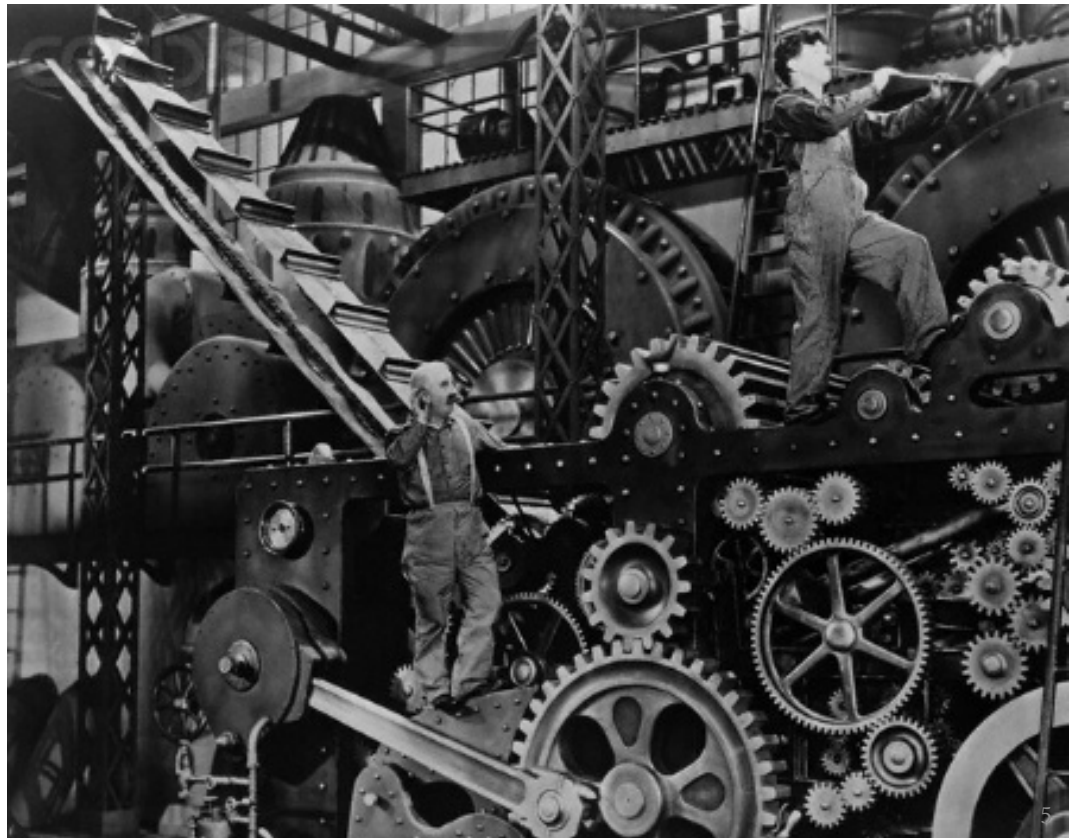
What is Computation?



Transfer of Human **labor** to Machines

Industrial Revolution

—19th century



Transfer of Human **intellectual** to Machines

Information Evolution



Questions & Answers

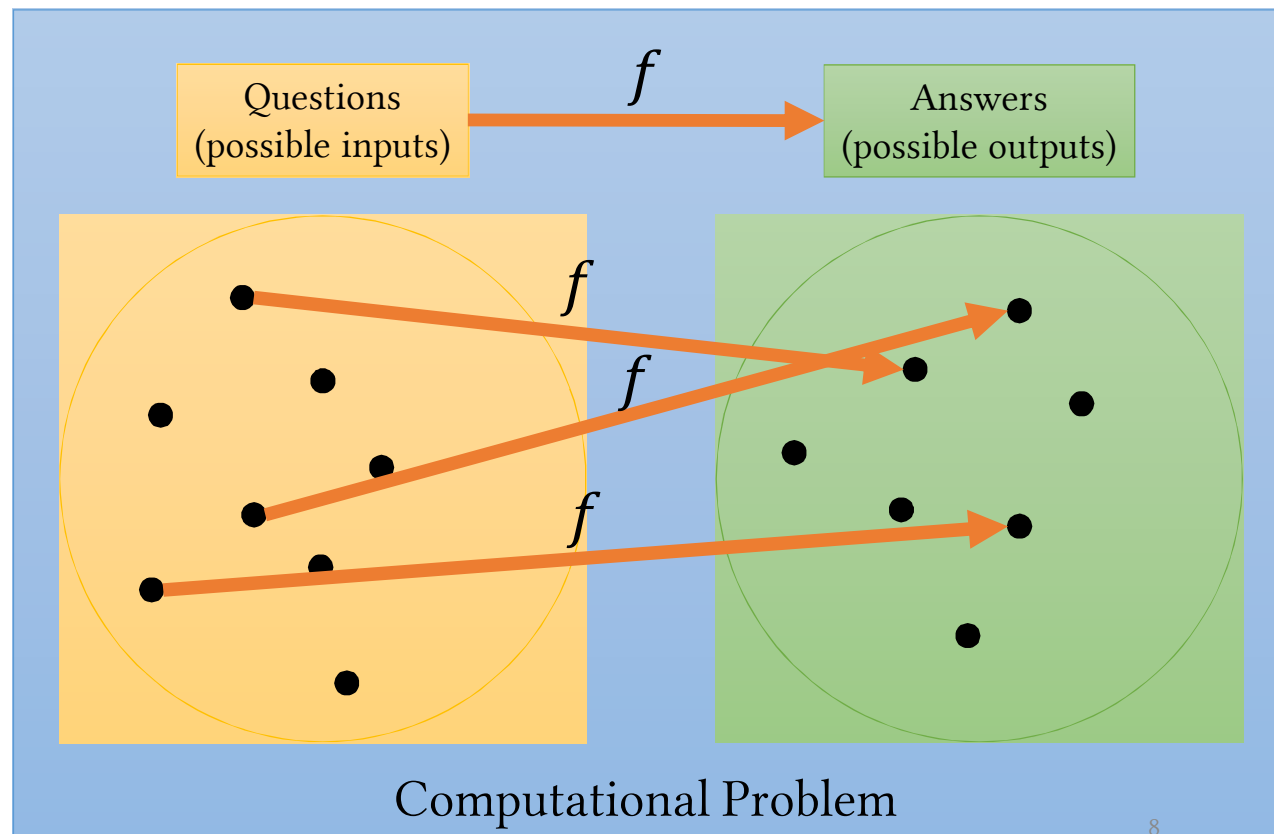
Arithmetic as a **mental** operation
+ **physical** operations

PRINCIPLE OF
BEHAVIORAL
EQUIVALENCE

The Functional Model

Assumes Computations:

1. **Read** an input, **think** for a while, **write** an output, and **halt**
2. Just the “**relation between input and output**” is important



The Imperative Model

- What about computations that do not “compute a function”?
 - deleting a file, anti-lock break system, ...

sequences of imperatives which **manipulate** representations

More inclusive
than
functional model

advantageous

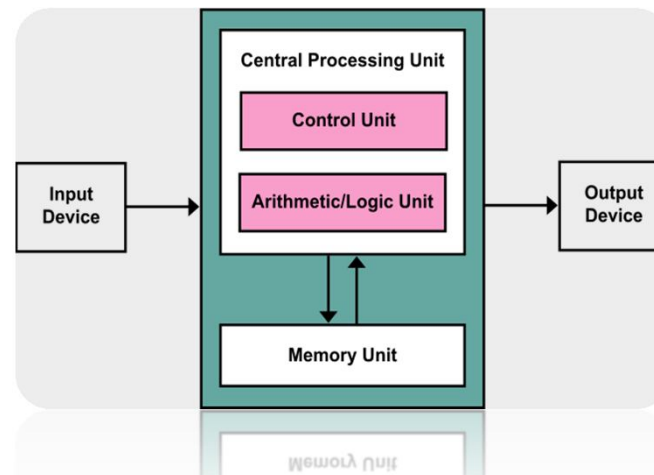
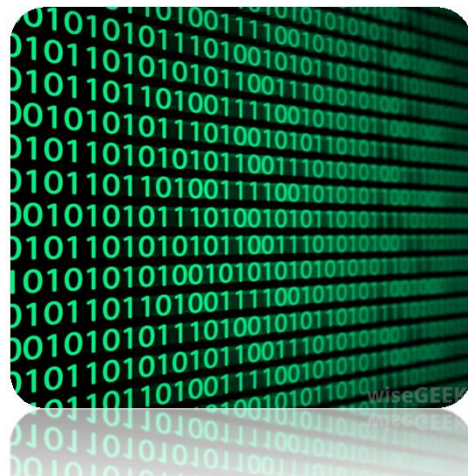
dis-advantageous

Hard to reason
about
programs at
this level

Digital Computers

Defining characteristics:

1. Programmability
2. Uniform meta-representation for all data-types

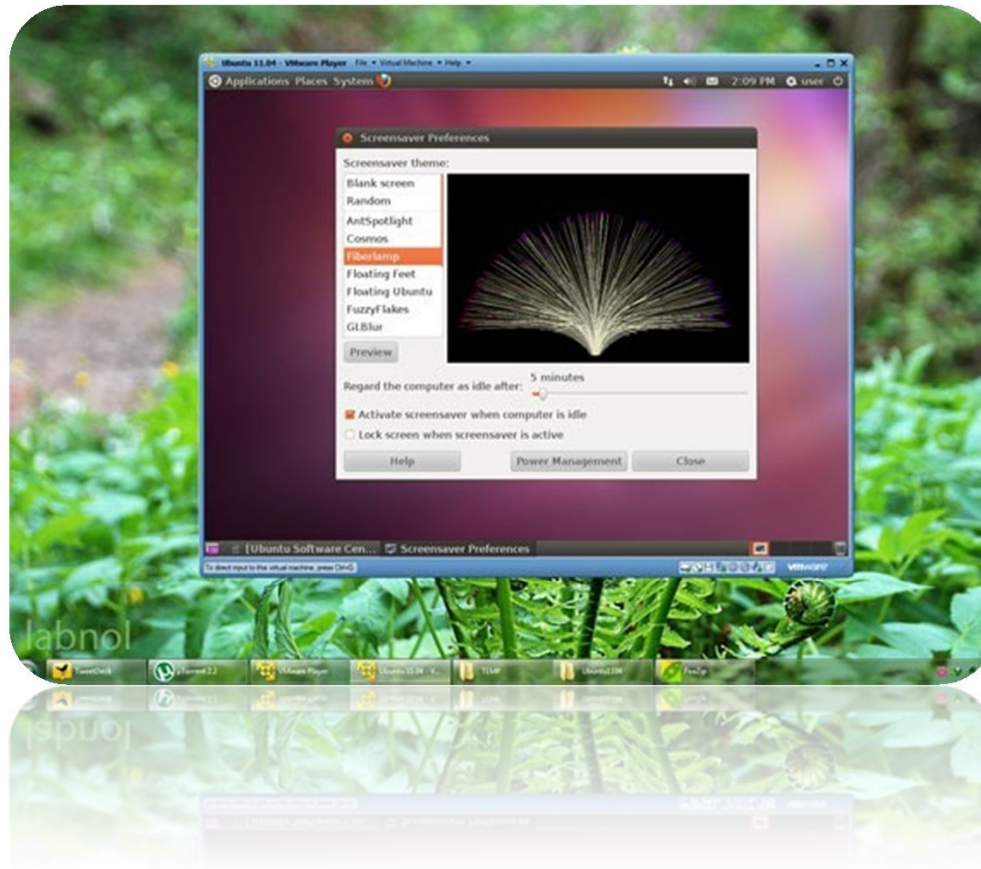


Meta-programming

debuggers, static checkers, profilers, compilers, source-code management systems

“While **programmability** makes **computer hardware** viable, **meta-programmability** makes **software** economically viable.”

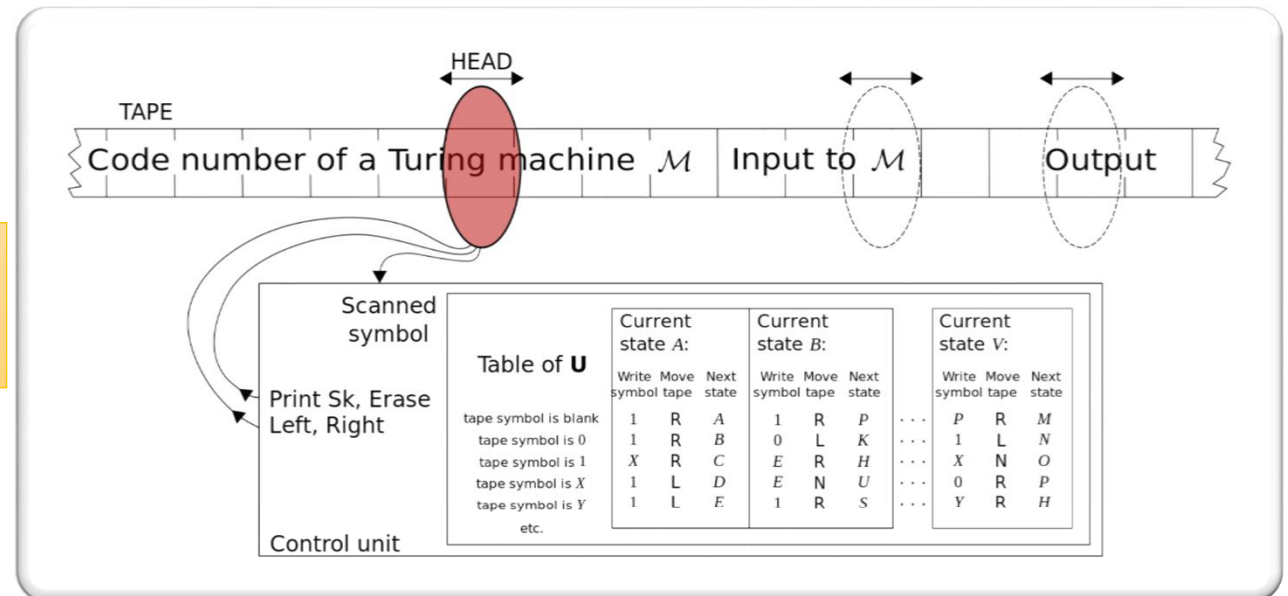
Simulation



- Can one computer always simulate another if it has enough memory?
- Is there an instruction set that is sufficient for simulating **any** kind of computer with **any** kind of instruction set?

Universality

Turing-completeness
Turing equivalence



“It is possible to invent a single machine which can be used to compute any computable sequence. If this machine U is supplied with a tape on the beginning of which is written the S.D ["standard description" of an action table] of some computing machine M , then U will compute the same sequence as M ”

Church-Turing Hypothesis (Church's Thesis)

Any computable function is computable by a Turing machine.



The Limits of Computation

Halting problem: **automated** detection of **infinite loops**

Halting problem is **un-computable**.

```
void contrarian(int input) {  
    if(halts(contrarian, input)  
        while(true) {  
            // loop infinitely  
        }  
    }  
}
```



After all, it is Computer Science

- This class will be about some of the **foundational theories** of computer science.
- Science is also about **experimentation**.
 - Try things and see what happens.
 - Learn from the experimental results.

Some Bold Assertions

- The abstract models in computability theory deal with:
 - Computers and software that **currently exist**
 - Computers and software that **will exist**
 - Computers and software that we can only **imagine**
- We are not concerned with **optimization**.
- Rather, we are concerned with the question of **possibility**.
 - What computers and software can and cannot do.

Overview of the Course

- We will begin with the **study of languages**.
 - In a very formal way, hence the term *formal languages*.
 - Which sentences belong to a language, and which ones don't?
- We will design small machines called **automata**.
 - An **automaton** designed for a particular language will “execute” when given a sentence as input and decide whether or not the sentence belongs to the language.

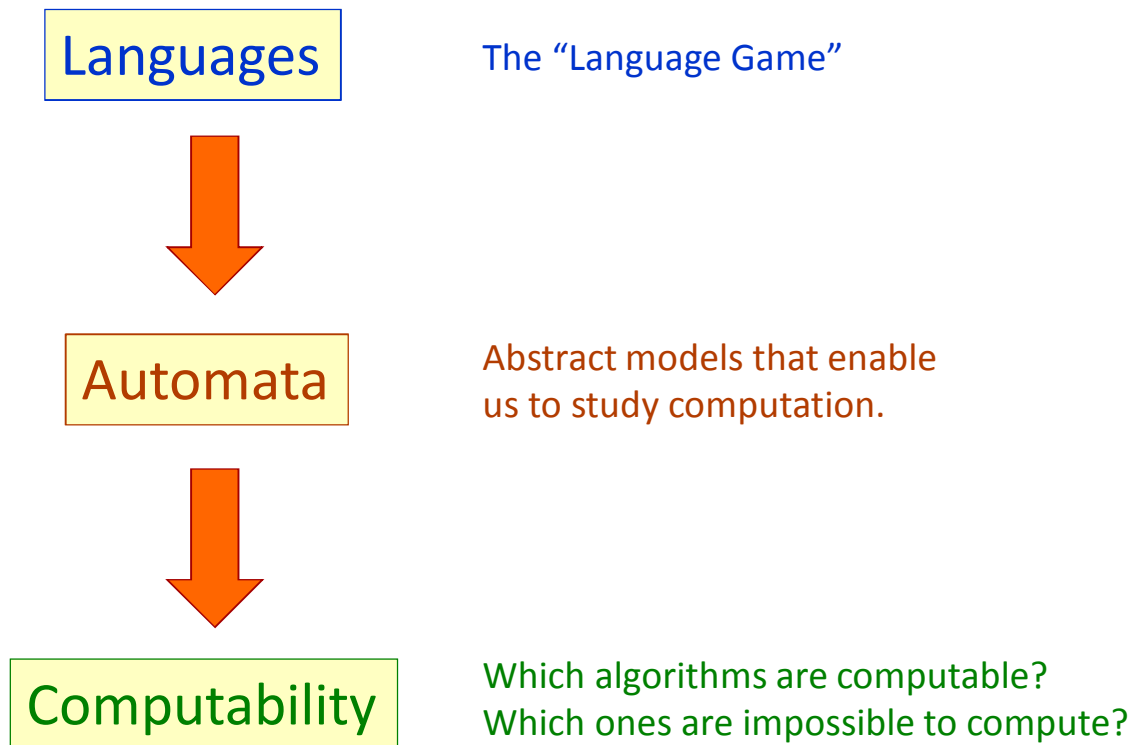
Overview of the Course, *cont'd*

- Our first languages will be very simple, and so will be their automata.
 - For example, an automaton may have very limited memory.
- What operations can we perform on sentences from a language and have the result be in the language?
- As the languages we study become more sophisticated, so will their automata.

Overview of the Course, *cont'd*

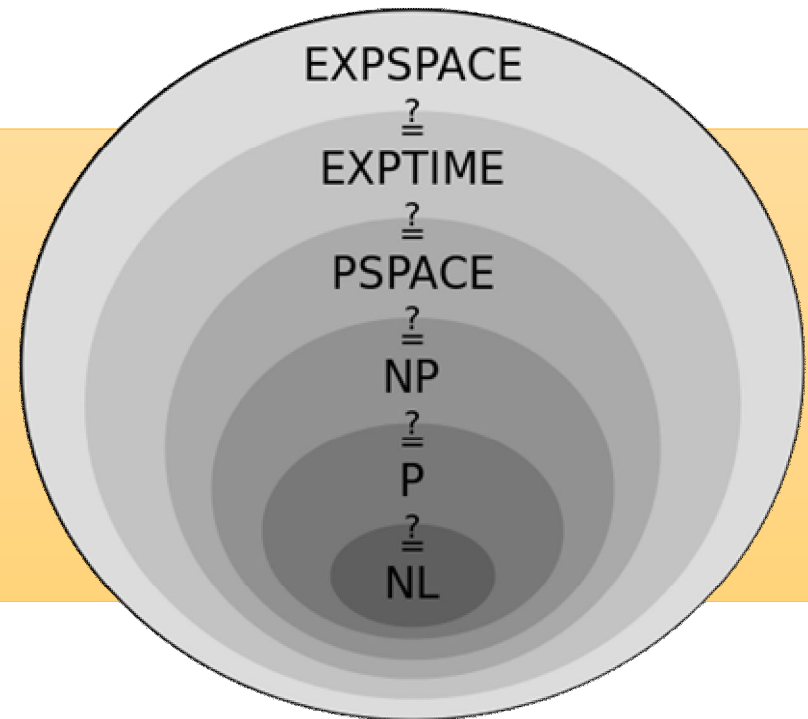
- The automata are the models that will enable us to **study computation**.
- Can we design an automaton that can **execute any algorithm** that a modern computer can?
 - How complex would this automaton have to be?
 - What are the implications if the automaton cannot execute an algorithm?
- Are there algorithms that are inherently **very hard** to execute? That are **impossible** to execute by any computer?

Our Plan (Very High Level Version)



Computational Complexity

general study of what can be achieved within **limited time** and/or **other limitations on natural computational resources**

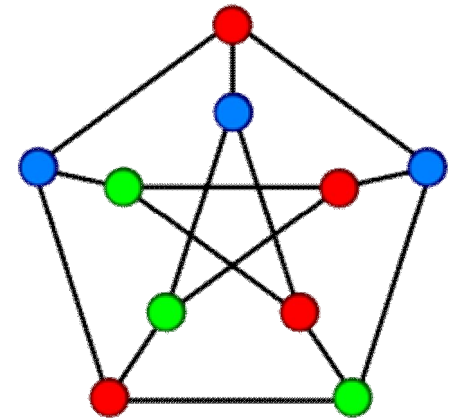
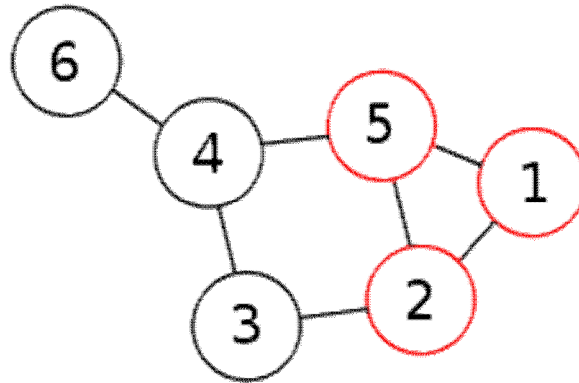


Two Concerns of Complexity

1. determination of the complexity of any well-defined task
2. obtaining an understanding of the relations between various computational phenomena

P, NP, and NP-completeness

$$(x \vee x \vee y) \wedge$$
$$(\neg x \vee \neg y \vee \neg y) \wedge$$
$$(\neg x \vee y \vee y)$$



These three seemingly different computational tasks are computationally equivalent.

Other advanced topics

- Randomness
- Knowledge
- Interaction
- Secrecy
- Learning
- Approximation
- Average-case complexity
- Space complexity
- ...

Syllabus

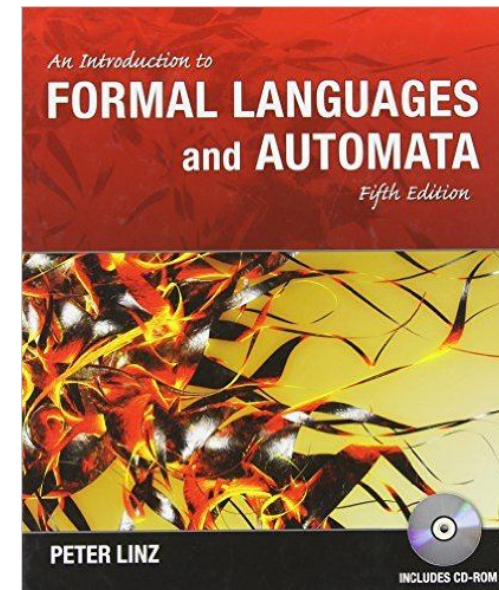
Title	Approx. Time (Weeks)	References
Regular Languages and Finite Automaton	4	Chapters 1-4
Context-free Languages and Grammars; Pushdown Machines	4	Chapters 5-8
Turing Machines and Decidability	5	Chapters 9-12
Basic Complexity and Its Modern Applications	3	Chapter 14 + ...

An Introduction to Formal Languages and Automata, 5th edition

Peter Linz

Jones & Bartlett Learning

2012 978-1-4496-1552-9



Software to Install Locally

- JFLAP
 - Java Formal Language and Automata Package
 - <http://www.jflap.org>
- There may be other software packages announced during the semester.

Grades

Title	Grade	Description
Exercises (Written + Programming) (at least 12 series)	5	Weekly
Midterm 1 Chapters 1-4	3	Sunday, 2 nd Aban 1395
Midterm 2 Chapters 5-8	3	Tuesday, 16 th Azar 1395
Final All the course material	9	See GOLESTAN
Excellence	+2	Extra credit
Total	20 + 2	

**10% penalty for every late day.
100% penalty after 72 hours.**

The Language Game

- Our study of **formal languages** starts out like a **game**.
- As with any other game, it has **rules**.
- The rules determine what sentences **belong** to the language.
- The goal of the game is simple:
Given an arbitrary sentence, determine if it belongs to the language.

Some Basic Terms

- Let Σ represent a nonempty **set of symbols** called an **alphabet**.
- We can construct **finite strings** of symbols from the alphabet.

String Examples

- Let alphabet $\Sigma = \{a, b\}$.
- Then *abab* and *aaabbba* are strings on Σ .
- If we write

$$w = abaaa$$

it means that the string named *w* has the value *abaaa*.

By convention, we use lowercase letters *a, b, c, ...* for elements in Σ and *u, v, w, ...* for string names.

Some Basic Terms, *cont'd*

- If string $w = a_1a_2 \dots a_n$ and string $v = b_1b_2 \dots b_m$ then $wv = a_1a_2 \dots a_nb_1b_2 \dots b_m$ is the **concatenation** of strings w and v .
- String $w^R = a_n \dots a_2a_1$ is the **reverse** of string w .
- $|w|$ is the **length** of string w .
 - The number of symbols in the string.
- λ is the **empty string**.
 - $|\lambda| = 0$
 - $\lambda w = w\lambda$

Substrings

- A **substring** of string w is any string of consecutive symbols of w .
- If $w = vu$, then the substring v is a **prefix** of w , and the substring u is a **suffix** of w .
 - Example: If $w = abbab$, then
 - All prefixes: $\{\lambda, a, ab, abb, abba, abbab\}$
 - All suffixes: $\{\lambda, b, ab, bab, bbab, abbab\}$
- If u and v are strings, then $|uv| = |u| + |v|$.

A Proof by Induction that $|uv| = |u| + |v|$

- **Definitions:** For all a in Σ and w any string on Σ

$$\begin{aligned}|a| &= 1 \\ |wa| &= |w| + 1\end{aligned}$$

- **Basis:** By definition, $|uv| = |u| + |v|$ is true for all strings v of length 1.
- **Inductive hypothesis:** Assume that $|uv| = |u| + |v|$ is true for all strings v of lengths $1, 2, 3, \dots, n$.
- Let v have length $n + 1$ and let $v = wa$, where $|w| = n$.
- Then $|v| = |w| + 1$ by definition, and therefore

$$|uv| = |uwa| = \boxed{|uw|} + 1 = \boxed{|u| + |w|} + 1 = |u| + |v|$$

inductive hypothesis

More Basic Terms

- If w is a string, then w^n is the string obtained by repeating w for n times.
 - Special case: $w^0 = \lambda$ for all w .
- If Σ is an alphabet, then Σ^* is the set of strings obtained by concatenating zero or more symbols from Σ .
 - Σ^* always contains λ
 - $\Sigma^+ = \Sigma^* - \{\lambda\}$ is the set of all nonempty strings
- Even though Σ is finite, Σ^* and Σ^+ are infinite since there is no limit on the string lengths.

The * operator is known as the Kleene star.